

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES**

Application No.:	10/733,795	§	Examiner:	Ingberg, Todd D.
Filed:	December 11, 2003	§	Group/Art Unit:	2193
Inventor(s):		§	Atty. Dkt. No:	5681-76400
Karen C. Roles, Stephen C. Evans		§		
and Steven J. Glover		§		
		§		
		§		
Title:	COMPUTER SYSTEM	§		
	MANAGEMENT	§		
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**SUPPLEMENTAL APPEAL BRIEF**

**Mail Stop AF**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

Further to the Notice of Appeal mailed February 5, 2008, Appellants present this Supplemental Appeal Brief. This Supplemental Appeal Brief provides the correct status of the claims and the grounds of rejection. Appellants respectfully request that this appeal be considered by the Board of Patent Appeals and Interferences.

## **VI. GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL**

1. Claims 1-10, 12-17, 19-30, and 35 stand rejected under 35 U.S.C. §103(a) as being anticipated by “OS/2 Client/Server Toolkit”, Angelo R. Bobak, 1995 (hereinafter “OS/2”) in view of HP OpenView as taught by Nathan Muller 1995 (hereinafter “OpenView”).

2. Claims 11, 18 and 31-34 stand rejected under U.S.C. 103(a) as being unpatentable over Bobak (“OS/2”) and OpenView in view of Lorenz et al. (U.S. Patent No. 6,405,366, hereinafter “Lorenz”).

The Commissioner is authorized to charge the appeal brief fee of \$510.00 and any other fees that may be due to Meyertons, Hood, Kivlin, Kowert, & Goetzel, P.C. Deposit Account No. 501505/5681-76400/JCH.

Respectfully submitted,

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Date: March 13, 2008 JCH/MSW

## **IX. CLAIMS APPENDIX**

The claims on appeal are as follows.

1. A management system for generation of a management object model including a structured hierarchy of objects representing components of a computer system for performing management of the computer system, the management system comprising:
  - a processor; and
  - a memory coupled to the processor, wherein the memory comprises program instructions configured to implement:
    - component modules operable to define mappings from instrumentation of the components to objects representing those components, and
    - configuration modules operable to configure associations between the component modules for the generation of the management object model.
2. The management system of Claim 1, wherein said\_component modules are operable to define mappings at respective different levels of abstraction.
3. The management system of Claim 2, wherein a said component module is operable to define a mapping for a single component property at a first level of abstraction.
4. The management system of Claim 2, wherein a said component module is operable to define a mapping for a set of component properties forming an object at a second level of abstraction.
5. The management system of Claim 2, wherein a said component module is operable to define a mapping for an assembly of associated objects at a third level of abstraction.

6. The management system of Claim 1, wherein a said component module for a component defines a behavior of the object representing the component.
7. The management system of Claim 1, wherein a said configuration module is operable to configure a said component module dynamically at run time for a said component that is subject to dynamic changes in status and is further operable to monitor said component for a change in status.
8. The management system of Claim 1, wherein a said configuration module is operable to configure a said component module statically at run time for a said component having static properties for a given invocation of the computer system.
9. The management system of Claim 1, wherein a said configuration module is operable to configure a said component module fixedly at run time for a said component having fixed properties for any invocation of the computer system.
10. The management system of Claim 1, comprising a library of component modules.
11. The management system of Claim 1, wherein a said component module comprises a plug-in module.
12. The management system of Claim 1, wherein a said component module for a component identifies an instrumentation module defining a source of instrumentation for the component.
13. The management system of Claim 12, wherein the instrumentation module exports an object-based representation of the instrumentation data via an instrumentation interface.
14. The management system of Claim 13, wherein the instrumentation module comprises a general part and a specific part, the general part being operable to

communicate with the specific part via a private interface to obtain instrumentation data, and the specific part being configured to interface with instrumentation for the component to obtain said instrumentation data.

15. The management system of Claim 14, wherein the general part and the specific part are local to each other.

16. The management system of Claim 14, wherein the specific part is remote from the general part, the general part being operable to communicate with the remote part via a remote access mechanism.

17. The management system of Claim 12, comprising a library of instrumentation modules.

18. The management system of Claim 12, wherein a said instrumentation module comprises a plug-in module.

19. The management system of Claim 1, wherein the management system forms a management agent for remote management of a computer system.

20. A computer system comprising a management system for generation of a management object model including a structured hierarchy of objects representing components of the computer system for performing management of the computer system, the management system comprising:

- a processor; and

- a memory coupled to the processor, wherein the memory comprises program instructions configured to implement:

- component modules operable to define mappings from instrumentation of the components to objects representing those components, and

- configuration modules operable to configure associations between the component modules for the generation of the management object model.

21. A method for generating a management object model including a structured hierarchy of objects representing components of a computer system for performing management of the computer system, the method comprising:

component modules defining mappings from instrumentation of the components to objects representing those components, and

configuration modules configuring associations between the component modules for the generation of the management object model.

22. The method of Claim 21, comprising component modules defining mappings at respective different levels of abstraction.

23. The method of Claim 22, comprising a said component module defining a mapping for a single component property at a first level of abstraction.

24. The method of Claim 22, comprising a said component module defining a mapping for a set of component properties forming an object at a second level of abstraction.

25. The method of Claim 22, comprising a said component module defining a mapping for an assembly of associated objects at a third level of abstraction.

26. The method of Claim 21, comprising a said component module for a component defining a behavior of the object representing the component.

27. The method of Claim 21, comprising a said configuration module configuring a said component module dynamically at run time for a said component that is subject to dynamic changes in status and monitoring said component for a change in status.

28. The method of Claim 21, comprising a said configuration module configuring a said component module statically at run time for a said component having static properties for a given invocation of the computer system.

29. The method of Claim 21, comprising a said configuration module configuring a said component module fixedly at run time for a said component having fixed properties for any invocation of the computer system.

30. The method of Claim 21, wherein a said component module for a component identifies an instrumentation module defining a source of instrumentation for the component.

31. The method of Claim 30, comprising the instrumentation module exporting an object-based representation of the instrumentation data via an instrumentation interface.

32. The method of Claim 31, comprising a general part of the instrumentation module communicating with a specific part of the instrumentation module via a private interface to obtain instrumentation data, and the specific part interfacing with instrumentation for the component to obtain said instrumentation data.

33. The method of Claim 32, wherein the general part and the specific part are local to each other.

34. The method of Claim 32, wherein the specific part is remote from the general part, the general part being operable to communicate with the remote part via a remote access mechanism.

35. A computer readable storage medium comprising a computer program for generation of a management object model including a structured hierarchy of objects representing components of a computer system for performing management of the computer system, the computer program including computer-executable instructions,



which, when loaded onto the computer system comprising a processor and a memory, provide component modules operable to:

define mappings from instrumentation of the components to objects representing those components, and

wherein the computer-executable instructions further provide configuration modules operable to configure associations between the component modules for the generation of the management object model.

**X. EVIDENCE APPENDIX**

No evidence submitted under 37 CFR §§ 1.130, 1.131 or 1.132 or otherwise entered by the Examiner is relied upon in this appeal.

**XI. RELATED PROCEEDINGS APPENDIX**

There are no related proceedings.